



KAY IVEY  
GOVERNOR

# State of Alabama Department of Corrections

Alabama Criminal Justice Center  
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JEFFERSON S. DUNN  
COMMISSIONER

May 24, 2016

TO: WARDENS  
DIVISION DIRECTORS  
HEADS OF STATE AGENCIES  
ADMINISTRATIVE REGULATION MONITORS

CHANGE #1  
ADMINISTRATIVE REGULATION 216

## BACKGROUND INVESTIGATIONS

**PURPOSE:** To update the Prison Rape Elimination Act (PREA) Compliance Sheet that is necessary for applicants to complete before hire.

**CHANGES TO BE MADE:**

**Reference:**

AR 216, *Background Investigations*, dated December 7, 2015

**Action Required:**

VII. Forms, B

Delete ADOC Form 216-B, *Prison Rape Elimination Act (PREA) Compliance Form*, dated May 20, 2016.

File this numbered change at the back of the regulation after annotating both the index and the regulation to indicate changes have been completed. Advise all personnel in your organization of the change to this regulation.

  
Jefferson S. Dunn  
Commissioner

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**Department of Corrections**  
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To: All Applicants

From: The Alabama Department of Corrections (ADOC), Personnel Division

Subject: Prison Rape Elimination Act (PREA) Compliance

Federal law and Alabama Department of Corrections (ADOC) administrative regulations prohibit the hiring of anyone who has been convicted, criminally or civilly, of inappropriate sexual behavior, involving an individual who was incarcerated or otherwise unable to come and go from a facility without restriction. The same regulations also prohibit the hiring of persons who were involved in similar crimes in the community. Omissions of fact may be grounds for disciplinary action, up to and including termination, should such omissions be discovered after appointment.

- Have you ever been employed at such an institution (businesses such as nursing homes and child care facilities would be among the employers of note)? Yes or No

If yes, Facility Name: \_\_\_\_\_

Address: \_\_\_\_\_

Position Held and Dates of Employment: \_\_\_\_\_

Name and Phone Number of Contact: \_\_\_\_\_

- If you were employed at more than one such facility, please utilize the space below to respond to the same set of questions for each such employer.

If yes, Facility Name: \_\_\_\_\_

Address: \_\_\_\_\_

Position Held and Dates of Employment: \_\_\_\_\_

Name and Phone Number of Contact: \_\_\_\_\_

- Have you ever been accused or charged with inappropriate sexual behavior? Yes or No

If yes, provide a clear narrative regarding the incident(s), the allegations, or charges and the outcome(s) of any investigation(s).

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- Have you ever engaged in sexual abuse in a prison, jail, lockup, community confinement facility, or other institution? Yes or No

If yes, provide a clear narrative regarding the incident(s), the allegations, or charges and the outcome(s) of any investigation(s).

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- Have you ever been convicted of engaging or attempting to engage in sexual activity in the community by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? Yes or No

If yes, provide a clear narrative regarding the incident(s), the allegations or charges and the outcome(s) of any investigation.

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- Have you ever been civilly or administratively adjudicated to have engaged in the activity described above? Yes or No

If yes, provide a clear narrative regarding the incident(s), the allegations or charges and the outcome(s) of any investigation.

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Facility Name: \_\_\_\_\_

Address: \_\_\_\_\_

Position Held and Dates of Employment: \_\_\_\_\_

Name and Phone Number of Contact: \_\_\_\_\_

Facility Name: \_\_\_\_\_

Address: \_\_\_\_\_

Position Held and Dates of Employment: \_\_\_\_\_

Name and Phone Number of Contact: \_\_\_\_\_

\_\_\_\_\_  
Printed Applicant's Name

\_\_\_\_\_  
Applicant's Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
CO Unit Personnel

\_\_\_\_\_  
Date